



FAIS DISCLOSURE DOCUMENT

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INTRODUCTION

In terms of the General Code of Conduct of the FAIS Act, **Riza Financial Pty Ltd.**, (“the Company”) trading under the brand Next Trade is a Company incorporated in the Republic of South Africa, with Company registration number 2021/910163/07 and registered address at Office 029 1st Floor

101 Isaiah Ntshangase Rd, Stamford Hill Durban Kwa-Zulu Natal, 4001, South Africa.

Next Trade is regulated and authorized by the International Financial Sector Conduct Authority (“FSCA”) in the Republic of South Africa as a Financial Service Provider (FSP No.52885) and is authorised to provide advice and intermediary service on derivative instruments. The Company acting in its capacity as a Financial Service Provider and in accordance with the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002) is required to disclose the information in this document to you as an authorised Financial Services Provider. You are therefore requested to read through the document carefully and sign the acknowledgement that you have read and understand the contents hereof. If there is anything in this document that you do not understand, please request further information from us. You are entitled to a copy of this document for your own records and to request a copy of our FSP license certificate.

Name and Surname	<u>Mr Nokultha Nkala</u>
Capacity (NP/JR)	Natural Person
Office Telephone number	+27 218794960
Email address	nokultha.nkala@nexttrade.com

THE REPRESENTATIVE

The Company accepts responsibility for the activities of the Representative insofar as it is performed within the scope of the contractual agreement between the Company and the Representative.

The Representative is currently rendering financial services under supervision.

FINANCIAL SERVICE PROVIDER, PRODUCTS AND CONTACT DETAILS

The Financial Sector Conduct Authority has duly authorised **Riza Financial Pty Ltd.**, to render financial services as defined in terms of the FAIS Act in respect of the following financial products:

	Financial Product	Advice Automated	Advice Non-automated	Intermediary Scripted	Intermediary Other
1.13	CATEGORY I Financial Services				
	Securities and Instruments: Derivative Instruments excluding warrants		x		x

EXEMPTIONS

The FSP was not awarded any exemptions from the Act.

PRODUCT SUPPLIERS

The Company markets the products of a product supplier as set out in the "DETAILS OF PRODUCT SUPPLIER(S)" attached to this document.

CONFLICT OF INTEREST MANAGEMENT POLICY

The Company has adopted and implemented a **Conflict of Interest Management Policy** that complies with the provisions of the FAIS Act. The Conflict of Interest Management Policy is published on the website of Next Trade at www.nexttrade.com.

INDEMNITY COVER

The Company holds Professional Indemnity and Fidelity cover with Aon Insurance.

COMPLIANCE OFFICER

Name	Outsourced Compliance Services (Pty) Ltd (Registration number 2017/394441/07 and Practice number 7154)
Physical address	JHB address: 377 Rivonia Blvd, Rivonia, Johannesburg, Gauteng, 2128 CT address: Dock Road Junction, Cnr Stanley & Dock Road, Waterfront, Cape Town, Western Cape, 8001
Contact person	LJ De Jongh
Telephone number	011 568 0925
E-mail	info@outsourcedcompliance.co.za

COMPLAINTS

Should you wish to pursue a complaint, you should address the complaint in writing and sent it at complaints@nexttrade.com

If you cannot settle your complaint with us, you are entitled to refer it to the office of the FAIS Ombud:

Telephone number	0860 324 766
E-mail	info@faisombud.co.za

The Ombud has been created to provide you with a redress mechanism for any inappropriate financial advice that you feel may have been given to you by a financial services provider.

FINANCIAL INTELLIGENCE CENTRE ACT ("FICA")

In terms of FICA, the Company is an accountable institution. We are required to identify our prospective clients, verify the given information and keep records of the verifying

documents. We are also obliged to report suspicious and unusual transactions that may facilitate money laundering to the authorities.

DISCLAIMER

Please note that forex trading and trading in other leveraged products involves a significant level of risk and is not suitable for all investors. Trading in financial instruments may result in losses as well as profits and your losses can be greater than your initial invested capital. Before undertaking any such transactions, you should ensure that you fully understand the risks involved and seek independent advice if necessary. Please read and ensure you fully understand our Risk Disclosure.

CLIENT ACKNOWLEDGMENT

I/We the undersigned hereby acknowledge receipt of this Disclosure Document and have read and understand the contents. The acknowledgement of this document was made during the Account Opening stage.

DETAILS OF PRODUCT SUPPLIER

Name	Netrios LP Ltd.
Physical address	Fortgate Offshore Investment & Legal Services Ltd, Ground Floor, The Sotheby Building, Rodney Bay, Gros-Islet, Saint Lucia
Postal address	Fortgate Offshore Investment & Legal Services Ltd, Ground Floor, The Sotheby Building, Rodney Bay, Gros-Islet, Saint Lucia
Contact detail of compliance department	compliance@netrios.com
Contact details of complaints department	info@netrios.com
Contractual relationship with product supplier	Issuer and seller
Conditions or restrictions imposed by product supplier with regard to types of financial products or services that may be provided or rendered by FSP	N/A
Does FSP hold more than 10% of product supplier's shares/ has any equivalent substantial financial interest in product supplier?	Yes
Has FSP during preceding 12 months received more than 30% of total remuneration, including commission, from product supplier?	No